# Local Government & Social Care OMBUDSMAN

24 July 2019

By email

Gillian Beasley Chief Executive Cambridgeshire County Council

Dear Ms Beasley

### **Annual Review letter 2019**

I write to you with our annual summary of statistics on the complaints made to the Local Government and Social Care Ombudsman about your authority for the year ending 31 March 2019. The enclosed tables present the number of complaints and enquiries received about your authority, the decisions we made, and your authority's compliance with recommendations during the period. I hope this information will prove helpful in assessing your authority's performance in handling complaints.

#### **Complaint statistics**

As ever, I would stress that the number of complaints, taken alone, is not necessarily a reliable indicator of an authority's performance. The volume of complaints should be considered alongside the uphold rate (how often we found fault when we investigated a complaint), and alongside statistics that indicate your authority's willingness to accept fault and put things right when they go wrong. We also provide a figure for the number of cases where your authority provided a satisfactory remedy before the complaint reached us, and new statistics about your authority's compliance with recommendations we have made; both of which offer a more comprehensive and insightful view of your authority's approach to complaint handling.

The new statistics on compliance are the result of a series of changes we have made to how we make and monitor our recommendations to remedy the fault we find. Our recommendations are specific and often include a time-frame for completion, allowing us to follow up with authorities and seek evidence that recommendations have been implemented. These changes mean we can provide these new statistics about your authority's compliance with our recommendations.

I would like to thank your Council for supporting the development of this work and offering valuable feedback on how this new data is described and presented.

I want to emphasise the statistics in this letter reflect the data we hold and may not necessarily align with the data your authority holds. For example, our numbers include enquiries from people we signpost back to your authority, some of whom may never contact you.

In line with usual practice, we are publishing our annual data for all authorities on our website, alongside our annual review of local government complaints. For the first time, this includes data on authorities' compliance with our recommendations. This collated data further aids the scrutiny of local services and we encourage you to share learning from the report, which highlights key cases we have investigated during the year.

We now more closely monitor authorities' compliance with the recommendations we make to remedy the fault we find, and it is pleasing that we recorded our satisfaction with your Council's compliance in four cases. However, we were disappointed that in two of these cases your Council failed to provide the remedy within the agreed timescale. One case involved payment of a sum of money to remedy the injustice caused by the Council's failure to provide care and support to a vulnerable young man. We asked for the payment to be made within one month of the final decision. It took nearly three months to arrange this, which proved to be frustrating for the complainant and had the potential to further damage an already fragile relationship.

In a second case, the Council was found to be at fault in the support it offered when a family adopted a child. The Council agreed to review its Adoption Support Policy and reconsider the family's request for support once that review had been completed. The Council was asked to do this within three months. It took nearly six months for the review to be completed although an explanation was given that this was because of the links to other policies and procedures that then needed updating in turn. It was encouraging however, that once the review of the policy was complete, the family was reassessed and awarded a higher level of support, which was appropriately back dated.

I would like to thank you for inviting us to visit the Council to discuss some concerns you had about specific cases and more general issues. An Assistant Ombudsman visited the Council in January 2019 and met with a number of officers. It was a constructive and helpful meeting and I hope left both sides with a better understanding of our respective positions on some of the issues and concerns you raised. It was encouraging to see a Council proactively make contact and suggest a meeting when concerns arose.

#### New interactive data map

In recent years we have been taking steps to move away from a simplistic focus on complaint volumes and instead focus on the lessons learned and the wider improvements we can achieve through our recommendations to improve services for the many. Our ambition is outlined in our <u>corporate strategy 2018-21</u> and commits us to publishing the outcomes of our investigations and the occasions our recommendations result in improvements for local services.

The result of this work is the launch of an interactive map of council performance on our website later this month. <u>Your Council's Performance</u> shows annual performance data for all

councils in England, with links to our published decision statements, public interest reports, annual letters and information about service improvements that have been agreed by each council. It also highlights those instances where your authority offered a suitable remedy to resolve a complaint before the matter came to us, and your authority's compliance with the recommendations we have made to remedy complaints.

The intention of this new tool is to place a focus on your authority's compliance with investigations. It is a useful snapshot of the service improvement recommendations your authority has agreed to. It also highlights the wider outcomes of our investigations to the public, advocacy and advice organisations, and others who have a role in holding local councils to account.

I hope you, and colleagues, find the map a useful addition to the data we publish. We are the first UK public sector ombudsman scheme to provide compliance data in such a way and believe the launch of this innovative work will lead to improved scrutiny of councils as well as providing increased recognition to the improvements councils have agreed to make following our interventions.

### **Complaint handling training**

We have a well-established and successful training programme supporting local authorities and independent care providers to help improve local complaint handling. In 2018-19 we delivered 71 courses, training more than 900 people, including our first 'open courses' in Effective Complaint Handling for local authorities. Due to their popularity we are running six more open courses for local authorities in 2019-20, in York, Manchester, Coventry and London. To find out more visit <u>www.lgo.org.uk/training</u>.

We were pleased to deliver a children's social care complaint handling course to your staff during the year. I welcome your Council's investment in good complaint handling training and trust the course was useful to you.

Finally, I am conscious of the resource pressures that many authorities are working within, and which are often the context for the problems that we investigate. In response to that situation we have published a significant piece of research this year looking at some of the common issues we are finding as a result of change and budget constraints. Called, <u>Under Pressure</u>, this report provides a contribution to the debate about how local government can navigate the unprecedented changes affecting the sector. I commend this to you, along with our revised guidance on <u>Good Administrative Practice</u>. I hope that together these are a timely reminder of the value of getting the basics right at a time of great change.

Yours sincerely,

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Michael King Local Government and Social Care Ombudsman Chair, Commission for Local Administration in England

Local Authority Report:Cambridgeshire County CouncilFor the Period Ending:31/03/2019

For further information on how to interpret our statistics, please visit our website

## Complaints and enquiries received

Adult Care Services	Benefits and Tax	Corporate and Other Services	Education and Children's Services	Environment Services	Highways and Transport	Housing	Planning and Development	Other	Total
21	0	3	19	2	6	0	2	0	53

Decisions made				Detailed Investigations					
Incomplete or Invalid	Advice Given	Referred back for Local Resolution	Closed After Initial Enquiries	Not Upheld	Upheld	Uphold Rate (%)	Total		
6	0	22	11	5	8	62	52		
Note: The uphold rate shows how often we found evidence of fault. It is expressed as a percentage of the total number of detailed investigations we completed.									

## Satisfactory remedy provided by authority

Upheld cases where the authority had provided a satisfactory remedy before the complaint reached the Ombudsman	% of upheld cases			
3	38			
<b>Note:</b> These are the cases in which we decided that, while the authority did get things wrong, it offered a satisfactory way to resolve it before the complaint came to us.				

## Compliance with Ombudsman recommendations

Complaints where compliance with the recommended remedy was recorded during the year*	Complaints where the authority complied with our recommendations on- time	Complaints where the authority complied with our recommendations late	Complaints where the authority has not complied with our recommendations	
4	2	2	0	Number
4		100%	-	Compliance rate**

#### Notes:

\* This is the number of complaints where we have recorded a response (or failure to respond) to our recommendation for a remedy during the reporting year. This includes complaints that may have been decided in the preceding year but where the data for compliance falls within the current reporting year.

\*\* The compliance rate is based on the number of complaints where the authority has provided evidence of their compliance with our recommendations to remedy a fault. This includes instances where an authority has accepted and implemented our recommendation but provided late evidence of that.